

Little Rock Trade and Investment Ltd.

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CIN: L67120WB1981PLC033628

The President
Kolkata Stock Exchange Ltd
7- Lyons Range
Kolkata - 700001

Date: October 10, 2017

Ref	CSE Scrip Code = 22055 & 10022055
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Sub: Corporate Governance Report under Regulation 27(2) of SEBI (Listing obligations & Disclosure Requirements) Regulations 2015 for Quarter & Half year ended on September 30, 2017.

Dear Sir/Madam,

Pursuant to the requirement of Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions if any, please find enclosed herewith:

- (iii) Compliance Report(s) on Corporate Governance for the Quarter ended on September 30, 2017 **(Annexure-I)**.
- (iv) Compliance Report(s) on Corporate Governance for Half year ended on September 30, 2017 **(Annexure-II)**.

Please acknowledge receipt.

Thanking you

Yours faithfully

For Little Rock Trade & Investment Ltd.

Deepa
Deepa Nanda
(Director)

Encl:1. Annexure I- Compliance Report on Corporate Governance
Annexure II- Compliance Report on Corporate Governance

For Little Rock Trade And Investment Ltd.

Deepa
Director/Authorised Signatory

POST #923, UPOST #92: 3.00
STATION: Kolkata
RSTN. No. #/ANND/037177
ED7617278181N
Counter No: UP-CHICR0
To: THE PRESIDENT,
KOLKATA, PIN: 700001
From: LITTLE ROCK TRADE, NDB
Mizoram, 10/10/2017, 13:16
Avt: 41.0000 (if not a holiday) 00:00:00
POST #923, UPOST #92: 3.00
<<Track on www.indiapost.gov.in>>

Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015.

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**
 2. Quarter ending: **30-Sep-17**

I. Composition of Board of Directors

Title (Mr./Ms)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/Non-Executive/independent/Nominee) ⁴	Date of Appointment in the current term/cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 28(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 28(1) of Listing Regulations)
Ms.	Deepa Nanda	PAN: ALEPN8112H DIN: 06535258	Whole Time Director	24-03-2015*	N/A	1	3	0
Mr.	Shankar Barik	PAN: BH2PB6228A DIN: 05215355	Independent Director	4/1/2013	54 Months	1	3	3
Ms.	Rajni	PAN: BANPR3432B DIN: 06676224	Executive Director	24-03-2015**	N/A	1	2	0

* Ms. Deepa Nanda was originally appointed as Non-Executive Director on 14/05/2013 and appointed as Whole Time Director w.e.f 24/03/2015.

** Ms. Rajni was originally appointed as Non-Executive Director on 14/08/2013 and appointed as Executive Director w.e.f 24/03/2015.

II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)
1. Audit Committee	1. Ms. Rajni 2. Ms. Deepa Nanda	Executive
2. Nomination & Remuneration Committee	1. Ms. Rajni 2. Ms. Deepa Nanda	Executive
3. Risk Management Committee (if applicable)	NA	
4. Stakeholders Relationship Committee	1. Ms. Deepa Nanda 2. Mr. Shankar Barik (Chairman)	Executive

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
01-04-2017 & 30-05-2017	8/8/2017	70 days

IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
8/8/2017	Yes, Audit Committee, all members were present at meeting	5/30/2017	70 days

Related Party Transactions

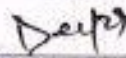
Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

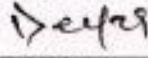
VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
- The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - Audit Committee
 - Nomination & remuneration committee
 - Stakeholders relationship committee
 - Risk management committee (applicable to the top 100 listed entities)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here.

For Little Rock Trade And Investment Ltd.

For Little Rock Trade & Investment Ltd.


 Director/Authorised Signatory


 Deepa Nanda
 (Director)

Corporate Governance Report at the end of 6 months after end of financial yearName of the Company **Little Rock Trade and Investment Ltd.**Half Year ended **September 30, 2017****I Affirmations**

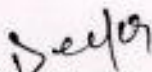
Broad heading	Regulation	Compliance status (Yes/No/NA)
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes

Note

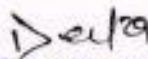
1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here.

3 If the Listed Entity would like to provide any other information the same may be indicated here.


Deepa Nanda
Director

For Little Rock Trade And Investment Ltd.


Director/Authorised Signatory

Date: 10/10/2017

Place: New Delhi